

Aml Policy

In accordance with strict financial rules and regulations, Loyalty Liquidity Limited collects and verifies the personal identification data of all our account holders. Loyalty Liquidity Limited logs and tracks all the transactions that are carried out by our clients. In order to open a trading account you must provide at least 100 points of ID. The ID you provide must comprise of a primary form of Photo ID which includes a valid government issued document showing your full name and date of birth and a secondary form of ID showing your name, residential address and provision of services such as money owed or paid.

Account Opening Document Requirements

In accordance with the Anti-Money Laundering and Counter Terrorism Financing Act 2006 we are required to verify your identity prior to opening a trading account. We are required to collect a minimum of 100 points of ID. Each form of primary ID accounts for 70 points with each form of secondary ID accounting for 30 points. The total must be equal to or greater than 100 points. Photo ID (primary) = 70 points per document The following are acceptable forms of photo identification: A valid Passport A valid Government ID, such as a Driver's License or State ID A valid National Identity Card Photo ID must show full name and date of birth. If your Photo ID is Certified or Notarized add 30 points (100 points in total). Proof of Residence (secondary) = 30 points per document The following are acceptable forms of proof of residence: A Utility Bill (phone, gas, electricity) Bank or Credit Card Statement Government Issued Tax Document Birth Certificate Certificate of Citizenship All proof of residences must be current and not outdated by more than 90 days, show the full document, clearly display the name and address (no PO Boxes) and show a provision of services such as money owed or paid. Total = 100 points We must be able to understand the language shown on your Photo ID and Proof of Residence. We are able to translate most languages except Arabic and Russian. We require documents provided in Arabic and Russian to be accompanied by an English translation.

Funds Withdrawal Procedures

The withdrawal process at Loyalty Liquidity Limited detailed below is structured around strict guidelines to make sure that funds are securely sent back to their originating source and beneficiary. Loyalty Liquidity Limited customers must complete a withdrawal request containing their correct account information. All withdrawal forms are submitted to Loyalty Liquidity

Limited accounts department for processing. Our Accounts department confirms the account balance, verifies that there are no holds or withdrawal restrictions on the account, and then approves the withdrawal request, pending compliance approval. Loyalty Liquidity Limited Accounts department reviews all withdrawal requests, verifying the original funds are withdrawn via the same method of deposit and to the account holder on file. Our accounts department examines the withdrawal request against the customer's deposit history to make sure there is no suspicious activity, and verifies the bank account on file. Withdrawal requests approved are processed by the accounts department and the funds are released to the client. In the event that a withdrawal is flagged for suspicious activity, the withdrawal is placed on hold, pending further investigation by Loyalty Liquidity Limited management staff. Loyalty Liquidity Limited management will work with our Compliance department to see if further action needed and if any relevant regulatory bodies need to be contacted.

AML Policy Details

Loyalty Liquidity Limited monitors funding from various bank accounts outside of the account holder's home country. Loyalty Liquidity Limited performs its actions on the basis of the anti-money laundering framework set forth by the Financial Action Task Force. Loyalty Liquidity Limited neither accepts cash deposits nor disburses cash under any circumstances. Loyalty Liquidity Limited does not accept third-party deposits of any kind. Loyalty Liquidity Limited matches each deposit to the account name on file for that customer. Loyalty Liquidity Limited reserves the right to refuse processing a transaction at any stage where it believes the transaction to be connected in any way to money laundering or criminal activity. In accordance with international law, Loyalty Liquidity Limited is not obligated to inform the client if suspicious activity is reported to any corresponding regulatory or legal bodies.

Account Opening Procedures

Prior to opening an account, Loyalty Liquidity Limited shall document the identity, nature of business, income, source of assets if applicable, and investment objectives of each prospective customer.